# RIM & Al Maturity in MedTech

**Executive Guide to Modernization Success** 



## Introduction

MedTech regulatory affairs teams are at an inflection point. An evolving regulatory landscape is increasing the complexity of regulatory affairs as new regulations are introduced and existing requirements change. Health authorities are also adopting their own requirements—such as post-market and UDI regulations—to improve transparency and increase efficiency. Frequent regulatory change coupled with varying regulatory requirements across global markets is creating a large amount of data for MedTech regulatory affairs teams to organize, manage, and derive actionable insights from.

Additionally, regulatory affairs teams are being tasked to execute projects faster with a fixed team size. Not only are many regulatory affairs teams operating with limited resources, but employee turnover is making it difficult to maintain continuity between projects and processes.

According to the 2024 RAPS Global Regulatory Affairs Professionals Workforce Report, the number of medical device regulatory affairs professionals who are "open to work" on LinkedIn increased by 9% in Europe and North America from 2021 to 2024. These challenges are now compounded by an abundance of regulatory data and the growing availability of AI technologies. Together, these factors are accelerating the need for more effective regulatory management, including the harmonization and digitization of regulatory information. Traditional manual, siloed approaches to regulatory information management (RIM) are creating risks that can't be ignored, such as audit findings, missed renewals, time-to-market delays, revenue impacts, and worst of all, having to pull life-changing medical technologies from the market.

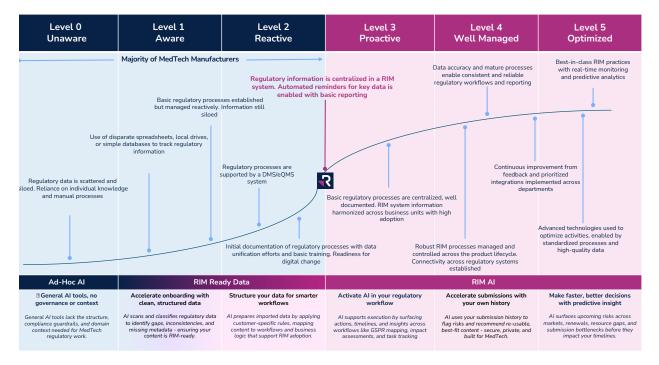
Regulatory affairs teams that have digitized their processes and integrated Al-driven capabilities to manage their information are reducing compliance risks, operating more efficiently, and building sustainable advantages in an increasingly competitive landscape. The Gens and Associates 2024 World Class Regulatory Information Management Study White Paper similarly noted a correlation between mature organizational alignment and business gains: "Simply put, the stronger an organization is with regard to its organizational components coupled with mature and standard processes, the more value is received from technology investment and therefore higher business benefits." Reaching optimal regulatory efficiency requires a deep understanding of an organization's regulatory information management (RIM) maturity.

However, assessing RIM maturity is often complex. Regulatory affairs teams must first understand where their regulatory information lives and how it is managed across the organization before they can fully benefit from digitization, automation, AI, and other advanced technologies.

Digitizing RIM may feel overwhelming, but the RIM & AI Adoption Maturity Model helps MedTech companies understand how mature their current regulatory processes are, identify opportunities to apply AI at the right stages of maturity, provide a clear set of incremental milestones to help them mature, and set a successful modernization strategy.

In this executive guide, we'll explore each level of the RIM & Al Adoption Maturity Model, outline the key attributes at every stage, and explain how MedTech teams can progress by applying Al at the right moments to maximize impact.

## The RIM & AI Adoption Maturity Model



While each level of the RIM & AI Adoption Maturity has distinct characteristics, they are grouped into two primary subsets:

- · Levels 0-2: Early-stage organizations with limited regulatory infrastructure
- · Levels 3-5: More mature organizations with centralized systems and structured processes

Organizations at Levels O-2 typically have minimal or no formal regulatory processes in place. Regulatory information is often managed reactively, scattered across disparate systems, and in some cases, not formally documented at all. Most MedTech companies currently fall within this range. At these stages, AI—if used at all—is generally applied in isolated ways, without governance or integration into core workflows. When applied effectively, however, it can still add value by scanning, classifying, and structuring regulatory data to make it RIM-ready. It can also help in identifying gaps, inconsistencies, and missing metadata.

Level 3 marks a turning point. Organizations at this stage and beyond have implemented a RIM system to centrally manage regulatory information and internal processes. This is also where AI can begin to contribute meaningfully within workflows by surfacing key actions, timelines, and insights across critical activities.

Advancing beyond Level 3 is nearly impossible without a RIM system. Centralizing regulatory information lays the foundation for standardizing processes, enabling integrations, and applying AI in more advanced ways, such as generating predictive insights and accelerating submission readiness.

## A Look at Each RIM & Al Adoption Maturity Level

In this section, we'll examine each RIM & AI Adoption Maturity Level to help MedTech regulatory affairs teams assess their current state, understand the risks and limitations at each stage, and identify the steps needed to progress toward more advanced, high-value capabilities. We'll also highlight how AI can be applied—whether used experimentally or as part of integrated workflows—to improve efficiency, accuracy, and decision-making at every level.

#### Level 0 - Unaware

Organizations at this level are at the earliest stage of maturity. There is no centralized repository for regulatory information. Instead, data is scattered across unconnected systems and formats, forcing regulatory professionals to spend time searching, re-entering, and reconciling information. This creates what many teams experience as a "back-office data treadmill," where skilled professionals are consumed by repetitive, low-value tasks rather than contributing to strategic work.

Regulatory processes are typically unstandardized, with documentation practices varying by individual. This lack of consistency increases the risk of knowledge gaps during employee turnover and makes it difficult to track regulatory activities across team members. These teams are also more vulnerable to audit findings and non-compliances due to the absence of visibility into projects, timelines, and responsibilities.

If AI is used at this level, it is typically ad hoc—for example, a standalone tool used for simple search or content generation, with no governance, validation, or legal safeguards in place. In regulated environments, this creates potential compliance and liability risks, making it difficult to scale or trust AI-driven initiatives.

## How AI Can Help at Level O: Breaking the Data Treadmill

- Automated knowledge discovery to reduce time spent locating scattered regulatory information.
- Basic Al-assisted monitoring to flag potential regulatory changes from public sources, reducing manual effort.
- Al-driven data cleansing and consolidation to eliminate duplicate records and prepare information for centralized management.

#### Level 1 - Aware

Organizations at this stage recognize the need to establish regulatory processes for better information management. However, these processes are still in their infancy and often rely heavily on the time and expertise of regulatory professionals. Rather than focusing on higher-value strategy and decision-making, teams continue to lose productivity to repetitive tasks like searching for, verifying, and reformatting regulatory information.

While some digital tools may be in use, they are typically limited to file-sharing platforms, local drives, or basic spreadsheets. This fragmented approach leads to poor visibility and coordination across regulatory functions.

More mature companies within Level 1 may have begun defining basic regulatory processes, but they continue to operate reactively. Without formal mechanisms to track and manage regulatory activities, these organizations remain at risk for missed deadlines, non-compliance, and knowledge loss. Information is still largely decentralized and unstructured, limiting the ability to scale or collaborate effectively.

# How AI Can Help at Level 1: Building Consistency and Scaling Beyond the Treadmill

- Natural Language Processing (NLP) to classify and tag imported documents automatically, reducing time spent manually organizing regulatory information.
- Al-powered data integration to consolidate information from multiple sources into a centralized, structured view for easier access and reporting.
- Automated data entry and validation to transform inconsistent or incomplete data into accurate, RIM-ready records that can be shared and used consistently across systems.

### Level 2 - Reactive

By Level 2, organizations recognize the need for an integrated digital solution to manage regulatory information more effectively. At this stage, RIM activities are often managed within repurposed platforms—such as document management systems or eQMS tools—that were not originally designed for RIM. While these tools may offer short-term relief, they often fall short of meeting the specialized needs of regulatory affairs teams.

Although regulatory management remains largely reactive, there is a growing effort to unify data and document processes. However, information is still not fully centralized or harmonized across office locations, functions, and business units, limiting collaboration and increasing the likelihood of data inconsistencies.

At this level, many organizations begin to realize that a more purpose-built solution is required. This often follows audit findings, compliance challenges, or operational bottlenecks. As regulatory affairs teams advance through Level 2, they begin evaluating and planning for a dedicated regulatory information management (RIM) system. Advancing to Level 3 requires more than a technology upgrade. They must adopt a MedTech-focused RIM platform that harmonizes regulatory information, standardizes processes, and enables meaningful use of automation and AI.

## How AI Can Help at this Level: Quality Control and Error Reduction

- Al-driven data validation and quality checks to improve accuracy before regulatory information enters the RIM system.
- Predictive tagging and risk assessment models to proactively flag compliance issues.
- Machine learning-based process analysis to identify inefficiencies and streamline regulatory workflows.

#### Level 3 - Proactive

At Level 3, regulatory teams have implemented a dedicated RIM system to organize and manage regulatory information more effectively. Information is now centralized within the platform, enabling greater consistency, collaboration, and control.

Organizations at this stage are beginning to take a more proactive approach to regulatory management. Automated notifications help track key activities such as registration expirations, renewals, task deadlines, standards updates, and approvals. With centralized data, regulatory teams can document correspondence and lessons learned directly within the RIM system, increasing visibility for all stakeholders.

Basic reporting capabilities exist but are not yet fully measured, standardized, or optimized.

As organizations progress toward Level 4, their foundational regulatory processes are typically well documented. RIM system adoption is high across business units, and information is mostly harmonized. However, inconsistencies in data quality may remain, performance metrics are often unclear, and regulatory workflows may still require refinement or further standardization.

#### **How AI Can Help at Level 3: Process Automation**

- Automated data ingestion and bulk import to accelerate onboarding of new records and reduce manual entry.
- Al-powered reminders and alerts to proactively surface upcoming deadlines, expirations, and required actions.
- Al-enhanced document management for improved version control, metadata tagging, and document retrieval.
- Al-assisted global regulatory impact assessments based on your structured regulatory data.

## Level 4 - Well Managed

At Level 4, regulatory processes are standardized within the RIM system and consistently applied across the product lifecycle. This structured foundation allows organizations to implement advanced performance metrics to track, measure, and optimize efficiency. For example, regulatory teams can now report on time-to-market by product line and region, enabling more informed decisions and better resource planning.

Content reuse also becomes a core efficiency driver. Teams are able to reduce duplication by leveraging centrally managed, pre-approved content blocks, streamlining submission preparation and ensuring consistency across markets. At this level, the RIM system becomes a trusted single source of truth, with accurate, reliable data supporting all regulatory operations.

Most organizations at this stage have also integrated their RIM system with other core platforms such as PLM, eQMS, and ERP. These connections enhance business-wide

visibility and enable more proactive regulatory planning. With clearly defined workflows and standardized processes across business units, teams are well-positioned to expand integrations and introduce advanced technologies—such as AI and predictive analytics—to strengthen and future-proof regulatory operations.

#### **How AI Can Help at Level 4: Insight Generation**

- Al-powered analytics to monitor KPIs, identify trends, and uncover opportunities for improvement.
- · System-wide AI integration to enable cross-platform data flow and unified reporting.
- Automated audit trail analysis to quickly detect anomalies and support continuous compliance.
- Al-assisted content re-use management to identify and apply existing assets across submissions.
- Automated submission assembly using modular, centrally approved content components.

## Level 5 - Optimized

At Level 5, organizations have fully established, well-managed regulatory processes supported by robust performance metrics. The focus now shifts to continuous improvement driven by user feedback, real-time insights, and measurable outcomes.

The RIM system is fully integrated across all relevant departments, providing enterprise-wide visibility into regulatory information, workflows, and performance. With complete unification in place, teams can now harness advanced AI to maintain continuous data quality, optimize regulatory operations, and support predictive decision-making.

At this highest level of maturity, organizations operate with best-in-class RIM practices, including real-time monitoring, predictive analytics, and prescriptive guidance. These capabilities empower regulatory teams to make faster, more informed decisions, realize time-to-market gains, and demonstrate measurable ROI. Al is no longer supplemental. It is fully embedded, strategically applied, and central to regulatory success.

#### **How AI Can Help at Level 5: Predictive Compliance**

- Predictive compliance models to anticipate and mitigate risks before they occur.
- Continuous learning systems that adapt to changing regulations, market conditions, and internal processes.
- Advanced AI for submission management to optimize submission packages, monitor approval pathways, and reduce the risk of resubmissions.

## Using AI to Establish Greater RIM Maturity

Advancements in artificial intelligence are helping MedTech regulatory affairs teams work more efficiently. However, adopting AI in a highly regulated environment comes with important responsibilities. Safe, compliant, and effective implementation depends on having the right foundations in place.

While AI can drive efficiency gains throughout the RIM & AI Adoption Maturity Model, there are no shortcuts. Skipping foundational steps reduces the reliability and long-term value of any AI initiative. Regulatory teams must look beyond hype and vendor demos that promise instant transformation, and instead focus on capabilities that are seamlessly embedded into daily workflows. When implemented thoughtfully, AI can automate repetitive tasks, improve data accuracy, and accelerate execution, all without disrupting established processes.

## **Build a Strong Data Foundation at Every Level**

To realize the full potential of AI, regulatory teams need a solid data and process foundation at each level of maturity. For example, a predictive compliance model will only be effective if centralized data and integrated workflows already exist within a RIM system.

Skipping foundational steps creates the illusion of progress, but ultimately limits results. Teams should focus on harmonizing data, centralizing information, and managing processes consistently to enable reliable and sustainable AI success.

## Establish a Data Governance Plan

Al cannot function as an effective research or decision-support tool without trustworthy source data. Every organization should establish a clear data governance plan that defines appropriate use cases, outlines how Al models are trained, and outlines a process for regularly validating outputs.

Regulatory teams should also understand whether their data is being used to train AI models, and which models are generating outputs. Training and clear policies are essential to ensure AI tools are used safely and effectively.

## Al Enhances—But Does Not Replace—Regulatory Affairs

Al can support research, streamline data management, and improve process efficiency. But it cannot replace the regulatory affairs function. Regulatory affairs professionals remain critical for regulatory reviews, forecasting, and approvals.

The real opportunity lies in using AI to enhance the impact of regulatory expertise. By automating repetitive, low-value tasks, AI frees regulatory teams to focus on strategic issues, improve execution, and move beyond the "treadmill" of manual data work. AI can support progress toward RIM maturity, but it cannot replicate the logical reasoning and judgment required for high-stakes regulatory decisions.

# Planning Your Organization's Next Steps in RIM Maturity

Achieving the highest levels of RIM maturity is a long-term effort. It requires setting realistic expectations, defining clear milestones, and advancing methodically. Whether your team is just beginning to digitize regulatory processes, in the midst of a RIM system implementation, or focused on optimizing existing workflows, success depends on a strong foundation and alignment between technology investments and business priorities.

**Contact us to set up a free RIM maturity strategy session** and take the next step toward confident, future-ready regulatory operations.



# **About Rimsys**

Rimsys is the leading provider of Regulatory Information Management (RIM) software purpose-built for MedTech manufacturers. The comprehensive platform digitizes and automates regulatory activities, helping MedTech regulatory affairs teams to efficiently achieve regulatory compliance and get products to market faster. Rimsys is designed around MedTech workflows and supports a full breadth of regulatory functions including registrations, submissions, UDI, EUDAMED compliance, essential principles, and standards management in a unified platform. Rimsys is trusted by half of the world's top 12 MedTech companies to power their global regulatory operations. For more information, visit <a href="https://www.rimsys.io">www.rimsys.io</a>.

